

US Serial No. 10/764758  
Page 1 of 2

Attorney File Ref: 102881-8

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Terence Charles HUGHES  
Serial No.: 10/764758  
Filed: 26.Jan.2004  
Examiner: Joseph David Anthony  
Art Group: 1714  
Title: **HYDROXAMATE COMPOSITION AND METHOD FOR FROTH  
FLOTATION**

---

PER TELEFAX: 571 273-1117

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313 – 1450

Attn: Exr. J D Anthony

08 June 2005

Dear Sir;

**COMMUNICATION**

Per the request of the Examiner the applicant transmits a complete replacement photocopy of the priority document in this application, PCT/AU01/00920.

Consideration of, and entry into the file wrapper is solicited.

US Serial No. 10/764758  
Page 2 of 2

Acknowledgement of receipt of the official certified copy of the priority document  
in the USPTO file wrapper is solicited.

Respectfully Submitted;

Andrew N. Parfomak

Andrew N. Parfomak, Esq.  
Reg.No. 32,431  
Norris, McLaughlin & Marcus, PC  
110 East 42<sup>nd</sup> St., 30<sup>th</sup> Floor  
New York, NY 10017

08 June 2005  
Date:

Tel: 212 808-0700

Enclosure – as indicated

Certificate of Telefax Transmission under 37 CFR 1.8

I certify that this document, and any attachments thereto, is being telefax  
transmitted on the date indicated below to telefax number : 571 273-1117 and is  
addressed to the: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450."

Kimberly Brittingham  
Kimberly Brittingham

8 June 2005  
Date

C:\ANPCMB\102681\Communication.doc



PCT/AU02/00994

REC'D 13 AUG 2002

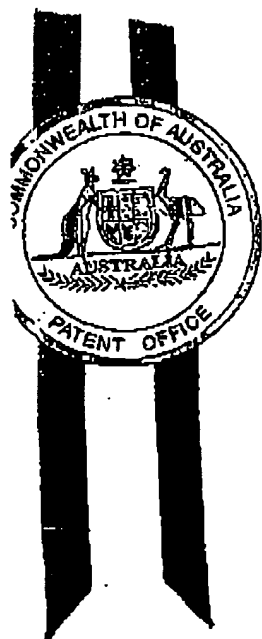
PCT

**PRIORITY  
DOCUMENT**SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)Patent Office  
Canberra

I, LISA TREVERROW, MANAGER AUSTRALIAN RECEIVING OFFICE  
hereby certify that the International Application PCT/AU01/00920 filed at the  
Australian Receiving Office on 27<sup>th</sup> July 2001.

I further certify that this International Application claims priority from  
Australian Provisional Application PQ9068 filed on 28<sup>th</sup> July 2000 and from  
Australian Provisional Application PR0551 filed on 3<sup>rd</sup> October 2000.

Best Available Copy



WITNESS my hand this fifth  
day of August 2002

*Lisa Treverrow*

LISA TREVERROW  
MANAGER  
AUSTRALIAN RECEIVING OFFICE

PCT/AU01/00920

1/4

## PCT REQUEST

648615

Original (for SUBMISSION) - printed on 27.07.2001 10:13:07 AM

0	For receiving Office use only	
0-1	International Application No.	PCT/AU 01 / 00920
0-2	International Filing Date	27 JUL 2001 (27.07.01)
0-3	Name of receiving Office and "PCT International Application"	Australian Patent Office PCT INTERNATIONAL APPLICATION
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared using	PCT-EASY Version 2.92 (updated 01.03.2001)
0-5	Petition	
	The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	Australian Patent Office (RO/AU)
0-7	Applicant's or agent's file reference	648615
I	Title of invention	PREPARATION OF FATTY HYDROXAMATE
II	Applicant	
II-1	This person is:	applicant only
II-2	Applicant for	all designated States except US
II-4	Name	AUSMELT LIMITED
II-5	Address:	12 Kitchen Road Dandenong, Victoria 3175 Australia
II-6	State of nationality	AU
II-7	State of residence	AU
III-1	Applicant and/or inventor	
III-1-1	This person is:	applicant and inventor
III-1-2	Applicant for	US only
III-1-4	Name (LAST, First)	EUGHER, Terence, Charles
III-1-5	Address:	11 McIlwraith Street North Carlton, Victoria 3054 Australia
III-1-6	State of nationality	GB
III-1-7	State of residence	AU

PCT/AU01/00920

2/4

## PCT REQUEST

646616

Original (for SUBMISSION) - printed on 27.07.2001 10:13:07 AM

IV-1	Agent or common representative, or address for correspondence The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name	PHILLIPS ORMONDE & FITZPATRICK
IV-1-2	Address:	367 Collins Street Melbourne, Victoria 3000 Australia
IV-1-3	Telephone No.	03 9614 1944
IV-1-4	Facsimile No.	03 9614 1867
IV-1-5	e-mail	attorney@pof.com.au
V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT EP: AT BE CH&LI CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR and any other State which is a Contracting State of the European Patent Convention and of the PCT OA: BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH&LI CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

PCT/AU01/00920

3/4

## PCT REQUEST

648615

Original (for SUBMISSION) - printed on 27.07.2001 10:13:07 AM

V-6	<b>Precautionary Designation Statement</b> In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 48(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-8	Exclusion(s) from precautionary designations	NONE
VI-1	Priority claim of earlier national application	
VI-1-1	Filing date	28 July 2000 (28.07.2000)
VI-1-2	Number	PQ9068
VI-1-3	Country	AU
VI-2	Priority claim of earlier national application	
VI-2-1	Filing date	03 October 2000 (03.10.2000)
VI-2-2	Number	PR0551
VI-2-3	Country	AU
VI-3	<b>Priority document request</b> The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1, VI-2
VII-1	International Searching Authority Chosen	Australian Patent Office (ISA/AU)
VIII	Declarations	Number of declarations
VIII-1	Declaration as to the identity of the inventor	-
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	-
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	-
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-

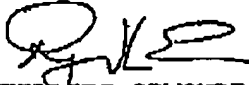
PCT/AU01/00920

4/4

## PCT REQUEST

648615

Original (for SUBMISSION) - printed on 27.07.2001 10:13:07 AM

IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	4	-
IX-2	Description	12	-
IX-3	Claims	3	-
IX-4	Abstract	1	EZABST00.TXT
IX-5	Drawings	0	-
IX-7	TOTAL	20	
	Accompanying items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	✓	-
IX-17	PCT-EASY diskette	-	Diskette
IX-19	Figure of the drawings which should accompany the abstract		
IX-20	Language of filing of the international application	English	
X-1	Signature of applicant, agent or common representative		
X-1-1	Name	PHILLIPS ORMONDE & FITZPATRICK	
X-1-2	Name of signatory	Ray L. Evans	

## FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	27 JUL 2001 (27.07.01)
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/AU
10-6	Transmittal of search copy delayed until search fee is paid	

## FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
------	--	--

PCT/AU01/00920

1

## PREPARATION OF FATTY HYDROXAMATE

The present invention relates to a method for preparing salts and acids of fatty  
5 hydroxamates, to compositions containing salts of fatty hydroxamic acids and to  
a method of recovery of metals by a flotation process using the compositions.

### Background

Fatty hydroxamates are used as reagents in hydrometallurgical operations,  
10 particularly in froth flotation of oxidized minerals. The performance of fatty  
hydroxamates in such procedures is dependent upon the composition of the  
reagent. There is a need for an efficient method for producing fatty  
hydroxamates for industrial uses which provides reliable results.

### 15 Summary of the Invention

The invention provides a method for preparation of fatty hydroxamic acids and  
salts thereof including reacting a fatty acid derivative with an aqueous solution  
of a hydroxylamine. The fatty acid derivative is preferably selected from the  
group of acid chlorides and esters. More preferably the fatty acid derivative is  
20 an ester selected from the group consisting of lower alkanol esters and  
glyceride esters. Hydroxylamine may be formed in situ from hydroxylamine  
salts in the presence of an alkaline aqueous solution which is typically an  
aqueous solution of alkali metal hydroxide. Alternatively hydroxylamine may be  
generated in situ from nitrosyl chloride or from ammonia in the presence of an  
25 oxidizing agent such as hydrogen peroxide.

During the reaction process the fatty hydroxamate which is formed generally  
produces foam. In the process of the present invention measures are  
preferably taken to suppress foam formation during the reaction. The reaction  
30 mixture may include a defoaming agent such as a lower alkanol or hydrocarbon.  
The defoaming agent is generally present in an amount of no more than 20% by  
weight of the reaction mixture and typically amounts of no more than 5% by  
weight of the composition are required.



PCT/AU01/00920

2

Alternatively we have found that a foam suppressing agent is not required if the reaction is conducted under pressure. The amount of pressure required for effective foam suppression may vary between reaction mixtures and the skilled person will be able to determine the appropriate pressure for any system without undue experimentation. Typically, however a pressure generated during the reaction in the range of from 0.1 to 2 atmospheres may be sufficient. Foam may be adequately controlled in most instances by conducting the reaction in a sealed vessel. Alternatively a process for continuous preparation may use a tubular reactor kept under controlled pressurized conditions.

#### Detailed Description of Preferred Embodiments

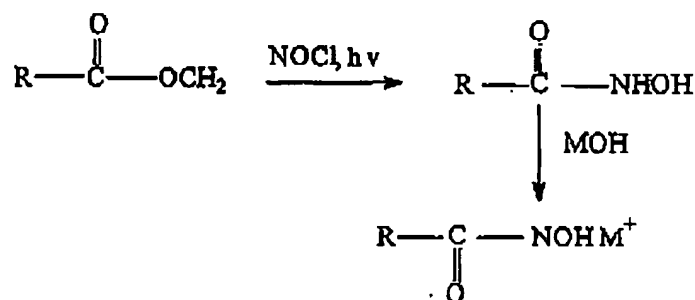
It is a feature of the invention that the reaction is conducted in the presence of an aqueous solution of hydroxylamine in the presence of a strong base preferably consisting of an alkali metal hydroxide. In contrast to previous methods of preparing fatty hydroxamates the present invention allows the use of large amounts of organic solvent to be avoided and prepares the hydroxamate salt directly in the aqueous phase. Until now it has been regarded as important to use the hydroxylamine in an organic solvent such as aliphatic alcohols as the reaction medium in order to obtain reaction of the hydroxylamine and fatty acid ester.

Hydroxylamine may be used in the form of an amine salt such as hydroxylammonium chloride or hydroxylammonium sulfate. In an alkali metal hydroxide solution the amine salts produce reactive hydroxylamine. It is particularly preferred to use hydroxylammonium sulfate as it is more readily available and preparation of the chloride is generally from the sulfate. The formation of the free hydroxylamine solution is carried out in glass or inert plastic lined equipment. The free hydroxylamine concentration is maintained at levels below 10% and the reactive hydroxylamine solution is used directly after removal of the precipitated alkali sulfate.

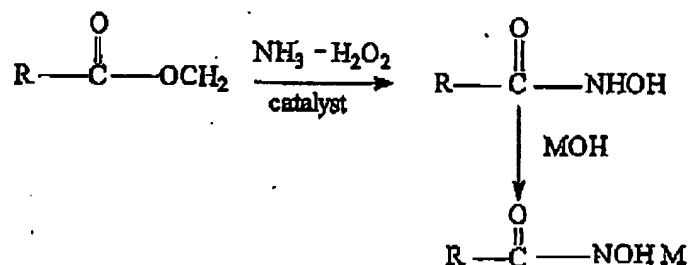
In one embodiment of the invention hydroxylamine or its salts are prepared from nitrosyl chloride in the presence of UV radiation:

PCT/AU01/00920

3



A further oximation process which generates hydroxylamine in situ involves the oxidation of ammonia using an oxidant, particularly hydrogen peroxide:



- 5 wherein M is an alkali metal such as sodium or potassium and R is fatty alkyl. The process of oximation of the fatty acid ester using ammonia and peroxide generally uses a catalyst. The catalyst is preferably selected from catalysts containing titanium and silicon. Preferably the catalyst has a zeolite structure. This oximation process may involve a pre-treatment of the catalyst with  
10 hydrogen peroxide.

The fatty hydroxamate is prepared using a fatty acid derivative. The fatty acid derivative may be a fatty acid chloride or an ester such as a lower alkanol ester of glyceride. The glyceride may be a mono-, di- or tri- glyceride.

15

- The fatty hydroxamate is preferably prepared using a lower alkanol ester of fatty acid. The ester is typically a C<sub>1</sub> to C<sub>4</sub> alkanol ester of a fatty acid having from 6 to 18 carbon atoms. Preferably the alkanol portion of the ester is methyl or ethyl. The fatty acid portion of the fatty acid derivative may include one or more  
20 fatty acids. The most preferred fatty acid composition has a high concentration of fatty acids in the C<sub>8</sub> to C<sub>14</sub> range and most preferably the C<sub>8</sub> to C<sub>10</sub> range. The fatty acid component of the ester preferably contains 95% w/w of C<sub>6</sub> to C<sub>14</sub> and preferably at least 80% w/w of C<sub>8</sub> to C<sub>10</sub> fatty acids. Most preferably at

PCT/AU01/00920

4

least 95% w/w of the fatty acid component is made up of  $C_8$  to  $C_{10}$  fatty acids or a mixture thereof. Fatty acids in this range may be prepared by fractionation of an oil containing a high proportion of  $C_8$  -  $C_{10}$  acids such as coconut oil and palm kernel oil.

5

The methyl ester of fractionated coconut oil is a preferred material for preparation of "Fatty Hydroxamate". Coconut methyl ester is preferably enriched with a  $C_8$  and  $C_{10}$  fraction in the composition ratio of 80:40 respectively.

10

Methyl ester of fractionated palm kernel oil can also be converted into a fatty hydroxamate material with characteristic flotation properties. Palm kernel oil contains a  $C_8$  to  $C_{10}$  composition similar to coconut oil. Straight palm oil comes from a different part of the plant and is a less suitable composition of the  $C_8$  and  $C_{10}$  fraction than palm kernel oil.

15

We have also found that glycerides from coconut or palm kernel oil, like methyl ester, are reactive to free hydroxylamine and lead to the formation of fatty hydroxamate. The advantage of this process is that it allows the use of glyceride feed stocks from fractionated coconut or palm kernel oil into fatty hydroxamate without the intermediate reaction steps of transesterification, or saponification followed by esterification.

20

The most preferred glycerides are di or tri- glycerides with a high proportion of  $C_8$  and  $C_{10}$  fatty acid chains attached with glycerol site. Most of the fatty acid component is made up of  $C_8$  and  $C_{10}$  fatty acids or a mixture thereof.

25

Suitable carboxylic acids can also be derived from petrochemical sources. In this case the acids will most likely have a branched carbon chain structure rather than the straight carbon chain in natural fatty acids.

30

Examples of suitable petrochemical based carboxylic acids are:  $C_8$ -cecanoic acid (essentially iso-octanoic acid), 2-ethyl hexanoic acid,  $C_8$ -cecanoic acid (essentially 3,5,5-trimethyl hexanoic acid) and neo-decanoic acid (mixture).

PCT/AU01/00920

5

Petrochemical derived alkyl ( $C_8$ - $C_{16}$ ) hydroxamates have been shown to have similar flotation advantages to that of natural  $C_8$  and  $C_{10}$  fatty hydroxamate.

- 5 It is found that the reactivity of cekanoic methyl ester especially those having a significant proportion of  $\alpha$ -branching are less reactive to hydroxylamine. With derivatisation into acid chloride instead of ester, the reactivity of cekanoic acid toward free hydroxylamine is enhanced. Examples of  $\alpha$ -branching fatty acids are  $C_8$ -cekanoic, 2-ethyl-hexanoic and neo-decanoic acids which, after
- 10 conversion into their corresponding acid chloride, react effectively with hydroxylamine to give fatty hydroxamate.

- Suitable carboxylic acids can also be derived from petrochemical sources, in this case the acids will probably have a branched carbon chain structure rather
- 15 than the straight carbon chain in natural fatty acids. Examples of suitable petrochemically derived carboxylic acids are  $C_8$  cekanoic acid (essentially iso-octanoic); ethyl hexanoic acid, neo-decanoic acid (mixture),  $C_8$  cekanoic acid (essentially 3,5,5 tri methyl hexanoic acid).

- 20 We have found that fatty hydroxamates derived from  $C_8$  to  $C_{10}$  fatty acids provide particularly effective froth flotation collectors. They have been found to produce reagents of great flotation selectivity and recovery for oxidised base metals such as, but not exclusively, Cu, Pb, Zn, Ni, Co and Sn such as the sulfides or oxide based ores or the naturally occurring metals Cu, Ag, Au and
- 25 platinum group metals when these metals occur in ores, tailings or wastes.

Petrochemical derived alkyl ( $C_8$  -  $C_{16}$ ) hydroxamates have been shown to have similar flotation advantages to the  $C_8$ ,  $C_{10}$  potassium fatty hydroxamates.

- 30 In the preferred embodiment of the invention the alkali metal hydroxide is preferably sodium hydroxide or potassium hydroxide and potassium hydroxide is particularly preferred. The alkali metal hydroxide is generally present in an excess on a molar basis when compared with the amount of hydroxylamine component. Preferably the hydroxylamine component is present in an excess

PCT/AU01/00920

6

on a molar basis when compared with the amount of fatty acid ester. We have found that particularly good results are obtained when the molar ratio of fatty acid ester to hydroxylamine compound is in the range of 4 to 1.1 and preferably from 2.5 to 1.2. The molar ratio of alkali metal hydroxide to fatty acid ester is preferably in the range of from 4 to 1.5 and more preferably from 3.5 to 2.

The aqueous solution of alkali metal hydroxide will typically have a concentration in the range of 5 to 50% by weight and preferably from 10 to 25% by weight.

10

The method of the invention will preferably include agitating the reaction mixture to produce mixing of aqueous alkaline and fatty ester organic phases. Suitable mixing apparatus such as an impeller or the like may be used to produce mixing of an aqueous alkaline phase comprising the hydroxylamine and a water insoluble fatty acid ester phase. After a period of stirring a homogeneous mixture is generally formed.

Formation of the fatty hydroxamate may be accompanied by the formation of foam which may be maintained at an acceptable level by the antifoaming agent or by conducting the reaction under pressure. When used the antifoaming agent may be a lower alcohol such as methanol, ethanol or isopropanol or hydrocarbon solvent such as turpentine, diesel, kerosene or aviation fuel. The antifoaming agent is preferably present in an amount of less than 5% and most preferably less than 3% by weight of the total composition.

25

The reaction process is generally carried out at a temperature of from ambient to 90°. Relatively mild temperatures of up to 60°C and more preferably 35 to 55°C are used.

30 In contrast to many previous processes we have found that the addition of surfactants to the reaction mixture is unnecessary and undesirable. While some method of forming hydroxamate derivatives have been reported using fatty alcohols and/or other surfactant additives we have found that these additives generally suppress flotation performance of the hydroxamates formed

PCT/AU01/00920

7

in accordance with the present invention. Accordingly the reaction mixture preferably contains less than 0.5% by weight of added surfactant and most preferably is free of added surfactant. The added surfactant component does not include derivatives of the fatty acid ester component which may be formed  
5 as a result of the manufacturing process of the fatty acid esters. However it is preferred that the fatty acid ester has a purity at least 98% by weight .

We have found that the formation of the first small amount of fatty hydroxamate during the reaction procedure assists in mixing of the aqueous alkaline and  
10 organic phases to provide a homogenous composition and the hydroxamate may act as a surfactant and/or phase transfer agent to enhance faster reaction.

The fatty hydroxamate salt produced in accordance with the invention will typically exhibit absorption at approximately 3213, 1628 and 1554 $\text{cm}^{-1}$  due to  
15 the presence of organic hydroxamate group. In UV visible analysis it typically exhibits strong absorption of 499nm after colour complexation with Fe III at pH 2-3.

The fatty hydroxamates particularly as the K salt may be used to produce froth  
20 flotation concentrates from base metal ores and tailings and provide high levels of recovered metal. The fatty hydroxamates can be used alone if only metal oxides or carbonates (eg.  $\text{SnO}_2$ -cassiterite  $\text{Cu}_2\text{O}$ -cuprite,  $\text{Cu}_2(\text{CO}_3)(\text{OH})_2$ -malacite) are present, and are preferably used together with sulfide collectors (eg. xanthates or organo thiophosphates) if a mixture of sulfides and oxidised  
25 minerals is present, eg. Cu as chalcopyrite or chalcocite (fresh or oxidised), or as Cu oxides, carbonates, hydroxides or silicates (chrysocolla). Metallic Cu, usually tarnished, silver, gold and platinum group metals are also recovered efficiently. A synergistic result appears with the mixed reagents (eg. xanthate plus fatty hydroxamate). For optimum performance and selectivity the fatty  
30 hydroxamate is used at pH 8.5 to 10.5 at low dose rates with a standard flotation frother.

PCT/AU01/00920

8

The invention further provides a method of froth flotation including combining a fatty hydroxamate in the form of an alkali preferably potassium metal salt with an ore slurry and preferably a frothing agent.

- 5 The concentration of the alkali metal hydroxamate is typically in the range of 10 to 1000mg per litre but will depend on the grade and amount of ore and the metals of interest. In terms of the quantity of ore the amount of alkali metal hydroxamate is generally in the range of 0.1 to 500g per tonne but will of course also be highly dependent of these factors.

10

We have found that the efficiency recovery of particular metals by the flotation method is highly dependent on pH. Recovery of copper and many other metals is enhanced when the pH of the flotation liquor is no lower than 0.5 units less than the pKa of the Bronstead acid corresponding with the fatty hydroxamate.

- 15 The pH may be higher than the pKa. The recovery of copper using potassium fatty hydroxamates is enhanced significantly when the pH is at least about 8.5 and more preferably from 8.5 to 10.5. In the case of tin however the optimum pH is typically acidic for example from pH 4 to 5 and this relationship of effectiveness of flotation with pKa is not observed.

20

The invention will now be demonstrated by, but is in no way limited to, the following examples.

#### Example 1

25

- Potassium salt of C<sub>8</sub>/C<sub>10</sub> hydroxamate derivatives from coconut methyl ester. Hydroxylammonium sulfate (11.6g, 0.14 mole equivalent of NH<sub>2</sub>OH) was first treated with (16.06g, 0.25 mole) KOH in 50ml distilled water to generate free hydroxylamine reagent. The formation of by-product K<sub>2</sub>SO<sub>4</sub> as precipitate, if  
30 necessary, could be easily separated by filtration or slow decantation of liquid reagent. The resulting free hydroxylamine solution in water (7-8%) was immediately reacted with methyl ester of coconut oil (20g, 0.112 mole equivalent of CO<sub>2</sub>CH<sub>3</sub>) at 45°C, when agitated by an overhead mechanical stirrer. In order to control the foam rise generated from the reaction, 0.5g of

PCT/AU01/00920

9

methanol was introduced as an antifoaming agent. After a stirring period of 6 hours the reaction mixture was exposed to air to allow the solvent to gradually evaporate to dryness. The resultant white, crude solid was subjected to an extraction process using warm methanol (4 x 20ml) that allows separation of the hydroxamate salt from  $K_2SO_4$  or any other inorganic impurities. The hydroxamate derivative contained in the methanol extract is finally recovered as a bright white powder by a typical crystallisation process (In other words, by distilling off the methanol as a solvent recycling procedure). After being left on a bench top to dry for 2 to 3 days a 17g yield of white solid was obtained. This product appeared visually identical to the hydroxamate derivative produced when using methanol as a solvent. Its FT-IR spectral characterisation is summarised in Table 1.

#### Example 2

15

Sodium salt of  $C_8$ - $C_{10}$  hydroxamate derivatives from coconut methyl ester. Following the identical procedure of Example 1, NaOH (10.28g, 0.252 mole OH) was used as a base to generate the hydroxylamine reagent. Unlike potassium salt, the sodium salt of hydroxamate appears to be hygroscopic. Its yield of 12 to 15g after crystallisation from methanol falls in the same range as its corresponding potassium salt. Its FT-IR spectral pattern, as seen in Table 1, also displays a close similarity to that seen from the typical hydroxamate derivative produced in a methanol solvent.

#### 25 *FT-IR analysis*

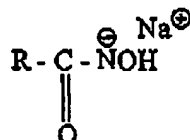
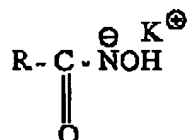
Following the standard KBr disc method it was found that samples of both the sodium and potassium salt described above comprised a characteristic hydroxamate functional group. As shown in Table 1, the potassium fatty hydroxamate (AM2) derived from present route (i.e. in water and using hydroxylammonium sulfate salt) produced virtually the same diagnostic signal as AM2 that was made via a methanol solvent route. The amide carbonyl peaks at  $1627$  and  $1554\text{cm}^{-1}$  due to keto-enol tautomers, accompanied with strong hydroxyl ( $-OH$ ) stretching vibration at  $3213\text{cm}^{-1}$ , which supports the



PCT/AU01/00920

10

conclusion that a hydroxamate function ( $-\text{CONOH}^{\ominus}\text{K}^{\oplus}$ ) is present in the product. The sodium salt form also shows similar signals, albeit giving a different frequency. This may be partly due to a different counter cation binding effect with conjugate hydroxamate function. It is known that cation size (ionic radii) has an effect on ion-pair stability as shown above structural form.

**Table 1:**

Sample material	IR signals at wave number ( $\text{v cm}^{-1}$ )
Solid AM2 made from coconut methyl ester by methanol solvent method	1627 and 1554 (carbonyl from amide) 3213.5 (-OH)
Potassium salt of AM2 made by present method	1627.4 and 1554 (carbonyl) 3213.2 (-OH)
Sodium salt of AM2 made by present method	1633 and 1574 (carbonyl) 3244(-OH)

**Example 3**

The procedure of Example 1 was repeated with the exception that the defoaming agent, methanol was omitted and the reaction was carried out in a sealed pressure vessel. The pressure vessel was lined with "Teflon" fluoropolymer and supported by a stainless steel shell and clamp. The pressure vessel was filled to a level of 70% to generate a vapour pressure during the reaction which was found to suppress foam formation during the reaction.

The progress of the reaction may be monitored by FTIR by monitoring the region between 1000 and 4000  $\text{cm}^{-1}$ . As the reaction progresses the concentration of the ester carbonyl will gradually diminish. This can be observed by a similar reduction in height of the characteristic ester carbonyl FTIR peak at wavelength 1739  $\text{cm}^{-1}$ .

PCT/AU01/00920

11

The liquid potassium salt of the fatty hydroxamic acid is transformed into a white solid upon cooling and evaporation of the water solvent. The physical and chemical properties closely resemble the product of Example 1

#### 5 Example 4

Potassium salt of  $C_8/C_{10}$  hydroxamate derivatives from coconut oil. A 7-8% free hydroxylamine reagent was generated by following a procedure similar to than in Example 1. It was then immediately reacted with triglyceride of coconut oil  
10 (22.5 g, saponification value 279, 0.112 mole equivalent of glyceride) at 45°C, under agitation. After a stirring period of 12 hours the white, creamy material was transferred to a pyrex bowl and was exposed to air to allow the solvent to gradually evaporate to dryness. The resultant white, paste product was subjected to washing with cold methanol to remove glycerol and other organic  
15 materials. The FTIR spectrum of dry white powder (18 g) showed an absorption band similar to that of the potassium salt of  $C_8/C_{10}$  hydroxamate derivative made in Example 1.

#### Example 5

20

$C_8$ -cekanolic hydroxamic acid.

A 7-8% free hydroxylamine reagent, generated by following Example 1, was reacted with methyl ester of  $C_8$ -cekanolic acid (19.7 g, 0.112 mole equivalent –  
25  $CO_2CH_3$ ) in a glass reactor using similar reaction conditions as described in Example 1. Unlike the product of  $C_8$  and  $C_{10}$  coconut fatty hydroxamate, the  $C_8$ -cekanolic hydroxamate product appeared as a clear homogeneous liquid. Upon acidification with 1 M HCl a white precipitate of  $C_8$ -cekanolic hydroxamic acid was formed. After filtration, water wash and air dry at 15 g of white powder  
30 of cekanolic hydroxamic acid was obtained.

PCT/AU01/00920

12

**Example 6**

A 1kg sample of the mineral feedstock was ground to 80% less than 75µm and was subjected to standard flotation methods in a 2 litre laboratory flotation cell.

- 6 The fatty hydroxamate was added as required, either as the solid or dispersed in warm water at 0.1 to 500g/tonne at a pH 8 to 10.5 or warm 1% potassium hydroxide solution. Methyl isobutyl carbinol (MIBC) was used as required as a frother (up to 10g/tonne). The composition of the froth concentrate defined is shown in the table below.

10

Feedstock and Metal Content		Flotation Concentrate Content
Supergene Cu ore	Cu 0.6%	Cu 12%
Oxidised Cu ore	Cu 0.8%	Cu 38%
	Au 0.9 ppm	Au 12 ppm
Oxidised Zn ore	Zn 2.5%	Zn 15.5%
SnO <sub>2</sub> tailings	Sn 0.6%	Sn 9.5%

PCT/AU01/00920

13

**Claims:**

1. A method for preparing fatty hydroxamic acids and salts thereof comprising reacting a lower alkanol ester of a fatty acid with an aqueous solution of hydroxylamine.  
5
2. A method according to claim 1 wherein the reaction is carried out by mixing a water insoluble phase comprising the fatty acid derivative with an aqueous phase comprising hydroxylamine.  
10
3. A method according to claim 2 wherein the hydroxylamine formed in situ from hydroxylamine salts in the presence of an alkaline aqueous solution.  
15
4. A method according to claim 2 wherein the hydroxylamine is formed in situ from nitroxyl chloride or ammonia in the presence of an oxidizing agent.  
20
5. A method according to claim 1 wherein the reaction is carried out in a reaction mixture comprising up to 20% by weight of defoaming agent selected from the group consisting of lower alkanols and hydrocarbons.  
25
6. A method according to claim 5 wherein the reaction mixture comprises no more than 5% by weight defoaming agent.  
30
7. A method according to claim 1 wherein the reaction produces foam under ambient conditions and the method further includes conducting the reaction under a pressure sufficient to suppress foam formation.  
30
8. A method according to claim 7 wherein the reaction is conducted under a pressure in the range of from 0.1 to 2 atmospheres.

PCT/AU01/00920

14

9. A method according to claim 7 wherein the reaction is conducted in a sealed vessel.
10. A method according to claim 7 wherein the reaction is conducted in a tubular reactor.
11. A method according to claim 3 wherein the hydroxylamine is formed in situ from one or more of hydroxylammonium chloride and hydroxylammonium sulfate.
12. A method according to claim 1 wherein the hydroxylamine concentration is maintained at below 10%.
13. A method according to claim 1 fatty acid derivative is selected from the group consisting of C<sub>1</sub> to C<sub>4</sub> alkyl esters and mono-, di- and tri-glycerides of fatty acids.
14. A method according to claim 1 wherein the fatty acid component of the fatty acid derivative comprises at least 95% by weight of C<sub>6</sub> to C<sub>14</sub> fatty acids.
15. A method according to claim 1 wherein the fatty acid component of the fatty acid derivative comprises at least 95% by weight of C<sub>6</sub> to C<sub>10</sub> fatty acids.
16. A method according to claim 3 wherein the hydroxylamine salt is formed in the presence of an aqueous solution of an alkali metal selected from sodium hydroxide and potassium hydroxide and the resulting product is a hydroxamic acid salt formed with a counter ion selected from sodium and potassium.
17. A method according to claim 16 wherein the alkali metal is potassium hydroxide.

PCT/AU01/00920

15

18. A method according to claim 16 wherein the alkali metal hydroxide concentration is in the range of from 10 to 25% by weight.
19. A method according to claim 1 wherein the reaction is carried out at a temperature in the range of from 35 to 55°C.
20. A method according to claim 1 wherein the reaction mixture contains less than 0.5% by weight of added surfactant.
21. A method according to claim 1 wherein the reaction mixture is essentially free of added surfactant.

PCT/AU01/00920

16

**ABSTRACT**

A method for preparing fatty hydroxamic acids and salts thereof comprising reacting a fatty acid derivative with an aqueous solution of a hydroxylamine.

5

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**